

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

**Bennett, Shawn**

Assistant Deputy Secretary, Department of Energy

Date of Appointment: 03/15/2018

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Bennett, Shawn [electronically signed on 03/09/2018 by Bennett, Shawn in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Plessner, Brian C, Certifying Official [electronically signed on 04/25/2018 by Plessner, Brian C in Integrity.gov]

Other review conducted by

/s/ Plessner, Brian C, Ethics Official [electronically signed on 04/25/2018 by Plessner, Brian C in Integrity.gov]

U.S. Office of Government Ethics Certification

Bennett, Shawn -

Data Revised 04/25/2018

Data Revised 03/28/2018

Data Revised 03/27/2018

Data Revised 03/26/2018

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Ohio Oil and Gas Association	Columbus, Ohio	Non-Profit	Executive Vice President	9/2014	12/2017

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Ohio Oil and Gas Association	N/A		Salary/Bonus	\$165,000
2	Ohio Oil and Gas Association	N/A		Severance	\$100,000
3	Ohio Oil and Gas Association, defined benefit plan				
3.1	Amazon.com, Inc. (AMZN)	No	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
3.2	Apple Inc. (AAPL)	No	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
3.3	Booking Holdings Inc. (BKNG)	No	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
3.4	Facebook, Inc. (FB)	No	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
3.5	Microsoft Corp. (MFST)	No	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
4	U.S. Banking Institution - Money Market	No	\$100,001 - \$250,000	Interest	None (or less than \$201)
5	Fidelity IRA				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
S.1	FIDELITY NASDAQ COMPOSITE INDEX- NAV	Yes	\$1,001 - \$15,000		None (or less than \$201)
S.2	FIDELITY SELECT SEMICONDUCTORS PORT	Yes	\$1,001 - \$15,000		None (or less than \$201)
S.3	FIDELITY SELECT INSURANCE	Yes	\$1,001 - \$15,000		None (or less than \$201)
S.4	FID INTERMEDIATE BOND FUND	Yes	\$1,001 - \$15,000		None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Ohio Oil and Gas Association	Columbus, Ohio	I will continue to participate in this defined benefit plan. The plan sponsor has not made further contributions after my separation.	9/2015

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Ohio Oil and Gas Association	Columbus, Ohio	Executive Vice President

### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Ohio Area Agency on Aging Defined Benefit Retirement Plan administered by Ohio Public Employees Retirement System See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	Premier Select IRA managed by SKAFCO	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.1	PowerShares QQQ Trust, Series 1 (ETF) (QQQ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.2	Vanguard 500 Index Fund (VOO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	Premier Select Roth IRA managed by SKAFCO	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.1	SPDR Dow Jones Industrial Average ETF (DIA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.2	Facebook, Inc. Common Stock (FB)	No	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
3.3	PowerShares QQQ Trust, Series 1 (ETF) (QQQ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.4	Rizzoli Corriere della Sera Mediagrp SpA (RCS)	No	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
3.5	SPDR S&P 500 ETF Trust (SPY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	Investment Account managed by SKAFCO	Yes			None (or less than \$201)
4.1	SPDR Dow Jones Industrial Average ETF (DIA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.2	PowerShares QQQ Trust, Series 1 (ETF) (QQQ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.3	SPDR S&P 500 ETF Trust (SPY)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	Ohio Area Agency on Aging (Columbus, OH)			Salary	

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	ExxonMobil (XOM)	No	\$15,001 - \$50,000	Dividends	None (or less than \$201)
2	Walt Disney Co. (DIS)	No	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
3	Apple, Inc. (AAPL)	No	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
4	Fidelity GO	Yes			
4.1	iShares Core 5&P 500 ETF (IVV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.2	Fidelity Global ex U.S. Index Fund - Premium Class (FSGDX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.3	Fidelity Municipal Income Fund (FHIGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

## 7. Transactions

(N/A) - Not required for this type of report

## 8. Liabilities

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

### Endnotes

PART	#	ENDNOTE
5.	1	Investors neither control strategy or investments in plan, nor do they have knowledge or could co such information regarding the actual underlying investments in the state's pension plan.